

SANTA MARIA JOINT UNION HIGH SCHOOL DISTRICT

BOARD OF EDUCATION

CSBA Professional Governance Standards

Adopted by the Santa Maria Joint Union High School District April 11, 2001

THE BOARD

School districts and county offices of education are governed by boards, not by individual trustees. While understanding their separate roles, the board and superintendent work together as a “governance team.” This team assumes collective responsibility for building unity and creating a positive organizational culture in order to govern effectively.

To operate effectively, the board must have a unity of purpose and:

- Keep the district focused on learning and achievement for all students.
- Communicate a common vision.
- Operate openly, with trust and integrity.
- Govern in a dignified and professional manner, treating everyone with civility and respect.
- Govern within board-adopted policies and procedures.
- Take collective responsibility for the board’s performance.
- Periodically evaluate its own effectiveness.
- Ensure opportunities for the diverse range of views in the community to inform board deliberations.

THE INDIVIDUAL TRUSTEE

In California’s public education system, a trustee is a person elected or appointed to serve on a school district or county board of education. Individual trustees bring unique skills, values and beliefs to their board. In order to govern effectively, individual trustees must work with each other and the superintendent to ensure that a high quality education is provided to each student.

To be effective, an individual trustee:

- Keeps learning and achievement for *all* students as the primary focus.
- Values, supports and advocates for public education.
- Recognizes and respects differences of perspective and style on the board and among staff, students, parents and the community.
- Acts with dignity, and understands the implications of demeanor and behavior.
- Keeps confidential matters confidential.
- Participates in professional development and commits the time and energy necessary to be an informed and effective leader.
- Understands the distinctions between board and staff roles, and refrains from performing management functions that are the responsibility of the superintendent and staff.
- Understands that authority rests with the board as a whole and not with individuals.



Board of Trustees Action Plans
Santa Maria Joint Union High School District

- **Maximize Student Success**
- **Develop and Maintain a Districtwide Accountability System**
- **Enhance Student Support Services: Facilities, Technology, Safe, Clean, Nurturing Environment; Expand Food Services**
- **Foster Partnerships**
- **Manage Rapid District Growth**

RESPONSIBILITIES OF THE BOARD

The primary responsibilities of the board are to set a direction for the district, provide a structure by establishing policies, ensure accountability and provide community leadership on behalf of the district and public education. To fulfill these responsibilities, there are a number of specific jobs that effective boards must carry out.

Effective boards:

- Involve the community, parents, students and staff in developing a common vision for the district focused on learning and achievement and responsive to the needs of all students.
- Adopt, evaluate and update policies consistent with the law and the district's vision and goals.
- Maintain accountability for student learning by adopting the district curriculum and monitoring student progress.
- Hire and support the superintendent so that the vision, goals and policies of the district can be implemented.
- Conduct regular and timely evaluations of the superintendent based on the vision, goals and performance of the district, and ensure that the superintendent holds district personnel accountable.
- Adopt a fiscally responsible budget based on the district's vision and goals, and regularly monitor the fiscal health of the district.
- Ensure that a safe and appropriate educational environment is provided to all students.
- Establish a framework for the district's collective bargaining process and adopt responsible agreements.
- Provide community leadership on educational issues and advocate on behalf of students and public education at the local, state and federal levels.

**SANTA MARIA JOINT UNION HIGH SCHOOL DISTRICT
BOARD OF EDUCATION**

**Regular Meeting
November 10, 2015**

**Santa Maria Joint Union High School District
2560 Skyway Drive, Santa Maria, California 93455**

5:30 p.m. Closed Session/6:30 p.m. General Session

*The Santa Maria Joint Union High School District mission is,
“We prepare all learners to become productive citizens and college and/or career ready by
providing challenging learning experiences and establishing high expectations for achievement.”*

Any materials required by law to be made available to the public prior to a meeting of the Board of Education of the District can be inspected at the above address during normal business hours.

Individuals who require special accommodation including, but not limited to, American Sign Language Interpreter, accessible seating or documentation in accessible formats should contact the superintendent or designee within a reasonable amount of time before the meeting date.

I. OPEN SESSION

A. Call to Order

II. ADJOURN TO CLOSED SESSION

Note: The Board will consider and may act upon any of the following items in closed session. They will report any action taken publicly at the end of the closed session as required by law.

- A. Certificated and Classified Personnel Actions** – Government Code Section 54957. The Board will be asked to review and approve hiring, transfers, promotions, evaluations, terminations, and resignations as reported by the Assistant Superintendent, Human Resources. **Appendix A**
- B. Conference with Labor Negotiators** - The Board will be provided a review of negotiations with the Faculty Association (California Teachers Association) and the California School Employees Association (CSEA).
- C. Student Matters** – Education Code Sections 35146 & 48918. The Board will review proposed expulsions/suspended expulsion(s) and/or requests for re-admission. *NOTE: The education code requires closed sessions in these cases to prevent disclosure of confidential student record information.*

III. RECONVENE IN OPEN SESSION

A. Call to Order/Flag Salute

IV. ANNOUNCE CLOSED SESSION ACTIONS – Dr. Richardson

V. REPORTS

A. Student Reports

B. Principal Report

Shanda Herrera - PVHS FFA Presentation

C. Superintendent's Report

SMHS FFA Dairy Team Recognition – Mark Powell, Advisor

D. Board Member Reports

VI. PRESENTATIONS

A. In School Intervention (ISI) – Greg Dickinson

B. State Physical Fitness Report – Lorene Yoshihara

C. Teacher Induction Program – Lorene Yoshihara

VII. ITEMS SCHEDULED FOR ACTION

A. BUSINESS

1. Award of Bid for Santa Maria High School Camino Colegio Parking Lot Re-Bid - Project #11-114

District administration opened bids on October 30, 2015 for the Santa Maria High School Camino Colegio Parking Lot RE-BID - Project #11-114. The bid recap and administrative recommendation follows:

BIDDER	BASE BID	ADD ALT #1	ADD ALT #2
Main Line Engineering	\$224,700.00	\$31,643.46	\$ 76,687.86
V Lopez & Sons	\$261,636.26	\$30,674.00	\$ 73,000.00
J F Will Co.	\$264,912.00	\$14,587.00	\$ 37,152.00
R Burke Co.	\$289,500.00	\$53,000.00	\$ 93,000.00
Brough Construction	\$310,000.00	\$40,000.00	\$140,000.00

After review of the bids received, Main Line Engineering is the apparent low bidder.

Resource Person: Yolanda Ortiz, Asst. Superintendent of Business Services

*** **IT IS RECOMMENDED THAT** the Board of Education award the bid for the Santa Maria High School Camino Colegio Parking Lot Re-Bid - Project #11-114, to Main Line Engineering in the amount of \$224,700 plus Alternate #1 of \$31,643.45 for a total of \$256,343.46 to be paid from Fund 40 – Capital Outlay Fund.

Moved _____ **Second** _____ **Vote** _____

2. Consider finding the Righetti Multilevel Classroom Building Project #15-175 exempt from CEQA pursuant to CEQA Guidelines sections 15302 and 15314 as set forth in the October 6, 2015 Tetra Tech report

In January 2015, the Board approved the selection of Rachlin Partners to provide architectural services for the Multilevel Classroom Building Project (Project #15-175) at Righetti High School.

In August 2015, the District retained Tetra Tech, Inc. to conduct an environmental review of Project #15-175. The purpose of the review was to determine if the project qualifies for a California Environmental Quality Act categorical exemption, thereby eliminating the need for further environmental study. Tetra Tech delivered its report on October 6, 2015. The report finds that the Righetti project qualifies for two separate CEQA exemptions: Class 2 (Section 15302) and Class 14 (Section 15314). Class 2 provides an exemption when the project is a replacement or reconstruction of

existing structures with new facilities that have substantially the same purpose or capacity as the original structures. Class 14 provides an exemption when the project does not increase original student capacity by 25% or 10 classrooms (whichever is less) and is built within existing school grounds. Either of these exemptions alone supports the project's exemption from further environmental review.

Resource Person: Yolanda Ortiz, Asst. Superintendent of Business Services

*** **IT IS RECOMMENDED THAT** the Board of Education find Project #15-175 exempt from CEQA pursuant to CEQA Guidelines sections 15302 and 15314 as set forth in the October 6, 2015 Tetra Tech report.

Moved _____

Second _____

Vote _____

3. Approval of Resolution No. 7-2015-2016 providing for the issuance and sale of 2015-2016 General Obligation Refunding Bonds in the combined aggregate principal amount of not to exceed \$12,000,000 to refund outstanding General Obligation Refunding Bonds, Series 2006 and approving related documents and actions. – Appendix C

Background: On May 17, 2006, the District issued the following “Santa Maria Joint Union High School District General Obligation Refunding Bonds, Series 2006” in the original principal amount of \$25,452,727.85 (the “2006 Bonds”). The 2006 Bonds were issued for the purpose of refinancing portions of Election of 2000 Series A and Series B, and to raise additional funds for capital improvement projects. The Election of 2000 Series A and Series B Bonds were issued pursuant to more than 2/3 voter approval obtained at a bond election held in the District on November 7, 2000.

The District is authorized under the California Government Code to issue refunding general obligation bonds, provided that savings are realized as a result of such refunding, thereby providing property tax savings to the property tax payers in the District. Caldwell Flores Winters Inc., has informed the District that due to favorable conditions that exist in the bond markets at this time, the District is expected to realize interest rate savings by refunding its outstanding 2006 Bonds.

Due to federal tax law requirements the portion of the 2006 Bonds that financed new projects may be refunded at any time. The portion of 2006 Bonds that refinanced the 2000 Bonds can be refinanced commencing in

May 2016. The proposed financing plan contemplates locking in favorable interest rates for both series of bonds at this time, one to be issued by end of 2015 and the other to be issued in 2016. The District has received proposals from JP Morgan Chase Bank to purchase both series of the Refunding Bonds directly from the District on a private placement basis.

To that end, the resolution for consideration by the Board authorizes the issuance of two series of Refunding GO Bonds in the combined maximum amount of \$12 million, for the purpose of refinancing the outstanding 2006 Bonds. The proposed resolution authorizes the issuance of said bonds as traditional tax-exempt, current interest bonds (no capital appreciation bonds). The Refunding GO Bonds will be issued pursuant to the terms set forth in Paying Agent Agreements, and sold on a private placement basis.

Documents Authorized. The Resolution authorizes District officials to bring into final form and execute the following documents, each described below:

- (1) Paying Agent Agreements. These agreements set forth the detailed terms of the bonds, including payment dates and redemption terms. These documents also pledge receipt of *ad valorem* property taxes to repayment of the Refunding GO Bonds. These documents will be finalized following the sale of the Refunding GO Bonds.
- (2) Escrow Agreements. These agreements set forth the detailed provisions regarding the deposit of bond proceeds with an escrow bank to provide for the payment and redemption of the 2006 Bonds.
- (3) Bond Purchase Agreements. These documents sets forth all of the terms of the sale of the Bonds to JPMorgan Chase Bank, and reflect the terms identified in the term sheets proposed by Chase.
- (4) Placement Agent Agreement. Stifel Nicolaus serves as the placement agent pursuant to this agreement, identifying a private purchaser and negotiating the terms of the placement. This agreement sets forth the terms of this engagement.

Resource Person: Yolanda Ortiz, Asst. Superintendent of Business Services

*** **IT IS RECOMMENDED THAT** the Board of Education approve Resolution No. 7-2015-2016, **Appendix C**, providing for the issuance and sale of 2015 and 2016 General Obligation Refunding Bonds in the combined aggregate principal amount of not to exceed \$12,000,000 to refund outstanding General Obligation Refunding Bonds, Series 2006 and approving related documents and actions.

Moved _____

Second _____

A Roll Call Vote is Required:

Mr. Tognazzini _____
Dr. Garvin _____
Dr. Karamitsos _____
Ms. Perez _____
Mr. Palera _____

VIII. CONSENT ITEMS

*** **IT IS RECOMMENDED THAT** the Board of Education approve the following consent items as presented.

All items listed are considered to be routine and may be enacted by approval of a single roll call vote. There will be no separate discussion of these items; however, any item may be removed from the consent agenda upon request of any member of the board and acted upon separately.

Moved _____

Second _____

A Roll Call Vote is Required:

Mr. Tognazzini _____
Dr. Garvin _____
Dr. Karamitsos _____
Ms. Perez _____
Mr. Palera _____

A. Approval of Minutes

Regular Board Meeting – September 8, 2015

B. Approval of Warrants for the Month of October 2015

Payroll	\$6,284,320.27
Warrants	<u>2,855,297.29</u>
Total	\$9,139,617.56

C. Attendance Report

Ms. Yolanda Ortiz, Assistant Superintendent of Business Services, will be available to answer questions regarding the 2015-2016 first and second monthly attendance report presented on the last page of this agenda.

D. Facility Report – **Appendix B**

E. Textbook Approval (second reading and approval)

The following textbooks were presented to the Board of Education for preview at the October 13, 2015 meeting. They are presented for second reading and approval.

PVHS Business Department/Marcie Guerra

Title: Game Design Workshop

Author: Tracy Fullerton, Publisher: CRC Press, Copyright: 2014

Title: Level Up

Author: Rogers, Publisher: Wiley & Sons, Copyright: 2014

F. Purchase Orders

PO #	Vendor	Amount	Description/Funding
PO16-00752	Division of State Architect	\$112,070.00	DSA Application Fees/C2004 Bond

G. Request for Travel

School	Instructor in Charge	Event/Location	Dates
ERHS	Kelley DeBernardi	Outdoor School/ Rancho Allegre	Jan. 12-15, 2016 April 12-15, 2016 May 10-13, 2016
SMHS	Ricardo Guiremand Amy Hennings	Close Up Civics Education Program/ Washington, D.C.	Feb. 6-12, 2016

H. Pupil Matters – Education Code Sections 35146 & 48918

Administrative Recommendation for student re-admission from expulsion/suspended order and/or expulsion: Student #'s 345149, 345537, 340682, 340534, 347042, 342895

I. Change Order

The total adjusted contract amount for the Righetti High School - Gym Re-Roof - Project #14-158; completed by Red Mountain Construction Services, went over the allowable change order amount of 10%, due to unforeseen circumstances. Board approval is required.

Original Contract Amount	\$703,284.00	\$70,328.40	Change Order Allowance
Change Order 1	\$ 55,984.34		
Change Order 2	<u>\$ 13,763.47</u>	\$59,747.81	Change Order 1&2
Contract Sub Total	\$773,031.81		
Change Order 3	<u>\$ 33,888.69</u>		Excess of 10% - Requires Board Approval
Total Adjusted Contract	\$806,920.50		

J. Notice of Completion

The following projects have been substantially completed and in order to file the necessary Notice of Completion forms with the County of Santa Barbara, the Acceptance of Substantial Completion needs to be formally accepted by the Board of Education.

- 1) Santa Maria High School – New Classroom Building at Broadway - Project #06-015.1; Vernon Edwards Constructors, Inc. - General Contractor
- 2) Righetti High School – Synthetic Track Re-Surfacing – Project 314-159; - Beynon Sports Surfacing, Inc. - General Contractor
- 3) Santa Maria High School – Covered Walkway/Breezeway Canopy Removal – Project 09-051 – Midwest Environmental Control, Inc. - General Contractor

K. Acceptance of Gifts

Pioneer Valley High School		
<u>Donor</u>	<u>Recipient</u>	<u>Amount</u>
Mark Paz	Jazz Choir	\$200.00
Wells Fargo	School	\$2,500.00
Total Pioneer Valley High School		<u>\$2,700.00</u>
Righetti High School		
<u>Donor</u>	<u>Recipient</u>	<u>Amount</u>
Wells Fargo	School	\$2,500.00
Total Righetti High School		<u>\$2,500.00</u>
Santa Maria High School		
<u>Donor</u>	<u>Recipient</u>	<u>Amount</u>
Albert Mitchell	SMHS Auto Shop	\$1,800.00
Edward DeBernardi	Golf	\$100.00
Brian Tomooka	Golf	\$130.00
Marc DeBernardi	Golf	\$110.00
Santa Maria Elks Lodge No. 1538	Golf	\$500.00
Pasqual Michel	Golf	\$200.00
People for Leisure and Youth (PLAY)	FFA	\$250.00
Bill Shank	FFA	\$200.00
Wheels N Windmills	Auto Club	\$7,300.00
Pizza of Santa Maria, LLC	Close Up Washington	\$102.90
Sports Boosters, Inc	Athletics	\$750.00
Phillips 66 Co	Golf	\$500.00
Total Santa Maria High School		<u>\$11,942.90</u>

IX. REPORTS FROM EMPLOYEE ORGANIZATIONS

X. OPEN SESSION PUBLIC COMMENTS

The public may address the Board on any matter (except personnel) concerning the District and not on the agenda. Note: The time limit to address the Board may not exceed two minutes. The Board is not required to respond to the Public Comment. The public may also address the Board on each item on the Agenda as the Board takes up those items. Persons wishing to speak should complete a blue request form and hand it to the Board secretary.

XI. ITEMS NOT ON THE AGENDA

Note: The law generally prohibits the Board from discussing items not on the agenda. Under limited circumstances, the Board may discuss and act on items not on the agenda if they involve an emergency affecting safety of persons or property, or a work stoppage, or if the need to act came to the attention of the District too late to include on the posted agenda.

XII. NEXT MEETING DATE

Unless otherwise announced, the next regular meeting of the Board of Education will be held on December 8, 2015. Closed session begins at 5:30 p.m. Open session begins at 6:30 p.m. The meeting will be held at the District Support Services Center.

XIII. ADJOURN

SANTA MARIA JOINT UNION HIGH SCHOOL DISTRICT
MONTHLY REPORT OF ATTENDANCE
FIRST MONTH OF 2015-16

August 10, 2015 through September 4, 2015

	First Month 2014-15			First Month 2015-16			ADA Change from Prior Year
	Ending Enrollment	ADA	ADA % of Poss. Enroll.	Ending Enrollment	ADA	ADA % of Poss. Enroll.	
ERNEST RIGHETTI HIGH							
Regular	1,921.00	1,872.11	97.2%	1,977	1,936.74	97.6%	
Special Education	83.00	78.22	95.1%	78	75.74	95.4%	
Independent Study	20.00	10.78	77.3%	20	11.95	84.1%	
Independent Study 12+12	1.00	0.22	100.0%	0	0.00	---	
CTE Program	8.00	7.28	91.0%	9	8.00	88.9%	
Home and Hospital Reg Ed	1.00	0.89	88.9%	1	0.63	100.0%	
Home and Hospital Spec Ed	1.00	0.22	100%	4	1.37	47.3%	
TOTAL RIGHETTI	2,035.00	1,969.72	97.2%	2,089	2,034.42	97.5%	64.70
SANTA MARIA HIGH							
Regular	2,389	2,302.83	96.9%	2,488	2,434.79	97.3%	
Special Education	97	90.17	92.8%	82	78.74	97.0%	
Independent Study	34	17.44	60.7%	50	20.11	92.7%	
Independent Study Spec Ed	2	1.39	62.5%	1	0.74	58.3%	
CTE Program	6	5.17	86.1%	6	5.47	91.2%	
Home and Hospital Reg Ed	5	3.61	86.7%	8	5.16	97.0%	
Home and Hospital Spec Ed	0	0.00	0.0%	2	1.89	100.0%	
TOTAL SANTA MARIA	2,533	2,420.61	96.7%	2,637	2,546.89	97.3%	126.28
PIONEER VALLEY HIGH							
Regular	2,596	2,534.67	97.3%	2,574	2,500.53	97.3%	
Special Education	123	115.28	94.5%	110	107.89	95.8%	
Independent Study	23	7.67	55.0%	5	2.37	73.8%	
Independent Study Spec Ed	3	3.67	77.6%	4	3.63	90.8%	
Home and Hospital Reg Ed	7	3.72	80.7%	9	4.32	68.9%	
Home and Hospital Spec Ed	0	0.00	---	0	0.00	---	
TOTAL PIONEER VALLEY	2,752	2,665.01	97.2%	2,702	2,618.74	97.3%	(46.27)
DAY TREATMENT @ LINCOLN STREET	6	5.50	80.5%	7	5.11	82.2%	(0.39)
DISTRICT SPECIAL ED TRANSITION	10	9.67	96.7%	25	21.95	96.8%	12.28
DISTRICT SPECIAL ED PROGRAM F SDC - Trans/Voc M/M	13	11.11	93.5%	16	14.63	96.5%	
ALTERNATIVE EDUCATION							
Delta Continuation	319	248.47	81.1%	307	258.78	84.8%	
Delta 12+	1	0.77	92.6%	1	0.93	93.1%	
Delta Independent Study	23	15.22	89.3%	10	6.42	85.9%	
Delta Independent Study 12+	38	31.62	93.6%	25	20.31	83.9%	
Delta Independent Study Spec Ed	2	0.00	---	0	0.00	---	
Delta Home and Hospital Reg Ed	1	0.00	---	1	0.00	---	
Reach Program--SMHS	3	2.50	72.6%	5	3.68	92.1%	
Reach Program--PVHS	3	1.89	97.1%	2	0.74	100.0%	
Home School @ Library Program	36	30.89	90.7%	43	40.05	91.8%	
TOTAL ALTERNATIVE EDUCATION	426	331.36	77.8%	394	330.92	84.0%	(0.44)
TOTAL HIGH SCHOOL DISTRICT	7,775	7,412.98	95.3%	7,870	7,572.66	96.2%	159.68

SANTA MARIA JOINT UNION HIGH SCHOOL DISTRICT
MONTHLY REPORT OF ATTENDANCE
SECOND MONTH OF 2015-16

September 07, 2015 through October 2, 2015

	Second Month 2014-15			Second Month 2015-16			Cumulative ADA			
	Ending Enrollment	ADA	ADA % of Poss. Enroll.	Ending Enrollment	ADA	ADA % of Poss. Enroll.	Prior Year		Current Year	
							ADA %	ADA	ADA %	ADA
ERNEST RIGHETTI HIGH										
Regular	1912	1849.60	96.3%	1963	1900.68	96.5%		1860.26		1918.71
Special Education	82	77.20	93.0%	75	71.00	93.4%		77.68		73.37
Independent Study	26	18.25	82.8%	39	27.89	88.6%		14.71		19.92
Independent Study 12 + 12	1	1.00	100.0%	0	0.00	---		0.63		0.00
Independent Study Spec Ed	0	0.00	0.0%	1	0.89	89.5%		0.00		0.45
CTE Program	8	7.15	86.1%	8	8.05	92.2%		7.21		8.03
Home and Hospital Reg Ed	2	1.80	94.7%	2	1.37	86.7%		1.37		1.00
Home and Hospital Spec Ed	1	1.00	100.0%	5	3.84	90.1%		0.63		2.61
TOTAL RIGHETTI	2032	1,956.00	96.1%	2093	2013.74	96.4%		1962.49		2024.08
SANTA MARIA HIGH										
Regular	2387	2299.95	96.2%	2450	2365.79	95.9%		2301.32		2400.29
Special Education	94	86.85	91.3%	83	79.11	96.1%		88.42		78.92
Independent Study	39	24.45	66.3%	89	71.95	92.4%		21.13		46.03
Independent Study 12 + 12	2	1.55	88.6%	2	1.16	81.5%		0.92		0.63
Independent Study Spec Ed	2	0.95	47.5%	1	0.05	5.3%		1.16		0.39
CTE Program	5	4.70	87.0%	7	5.05	75.0%		4.92		5.26
Home and Hospital Reg Ed	10	8.15	92.6%	8	8.00	86.9%		6.00		6.58
Home and Hospital Spec Ed	0	0.00	---	2	1.74	---		0.00		1.82
TOTAL SANTA MARIA	2539	2426.60	96.0%	2642	2532.84	95.9%		2423.87		2539.92
PIONEER VALLEY HIGH										
Regular	2565	2503.80	97.1%	2566	2496.11	97.0%		2518.42		2498.32
Special Education	124	118.95	94.6%	109	103.37	94.7%		117.21		105.63
Independent Study	29	12.75	49.2%	7	5.00	80.5%		10.34		3.68
Independent Study Spec Ed	1	1.95	73.5%	3	2.63	72.5%		2.76		3.13
Home and Hospital Reg Ed	7	6.65	88.1%	11	8.21	83.9%		5.26		6.26
Home and Hospital Spec Ed	0	0.00	---	0	0.00	---		0.00		0.00
TOTAL PIONEER VALLEY	2726	2644.10	97.0%	2696	2615.32	96.9%		2653.99		2617.03
DAY TREATMENT @ LINCOLN STREET	8	5.30	71.6%	6	4.42	64.1%		5.39		4.76
DISTRICT SPECIAL ED TRANSITION	11	9.70	88.6%	25	23.42	93.7%		9.68		22.68
DISTRICT SPECIAL ED TRANS/VOC M/M	15	12.10	86.4%	17	16.11	98.4%		11.63		15.37
ALTERNATIVE EDUCATION										
Delta Continuation	321	247.25	77.0%	312	235.68	76.1%		247.82		247.23
Delta 12+	1	1.00	100.0%	1	0.57	56.9%		0.89		0.75
Delta Independent Study	27	24.20	93.2%	14	13.51	96.8%		19.95		9.96
Delta Independent Study 12+	32	29.93	82.0%	19	18.32	83.1%		30.73		19.32
Delta Independent Study Spec Ed	2	0.00	0.0%	0	0.00	---		0.00		0.00
Home & Hospital Reg Ed	2	0.45	31.0%	2	0.26	20.8%		0.24		0.13
Freshman & Sophomore Prep	0	0.00	---	0	0.00	---		0.00		0.00
Reach Program--SMHS	3	3.20	90.1%	7	5.47	88.9%		2.87		4.58
Reach Program--PVHS	6	2.05	66.1%	3	1.53	69.0%		1.97		1.13
Home School @ Library Program	36	32.10	87.3%	48	41.74	92.9%		31.53		40.89
TOTAL ALTERNATIVE EDUCATION	430	340.18	79.1%	406	317.07	78.1%		336.00		323.99
TOTAL HIGH SCHOOL DISTRICT	7761	7393.98	95.3%	7885	7522.91	95.4%		7403.05		7547.84

**Santa Maria Joint Union High School District
November 10, 2015**

CLASSIFIED PERSONNEL ACTIONS

Name	Action	Assignment	Site	Effective	Pay Rate	Hours
	Increase Hours	Bus Driver	DO	10/8/2015	18/E	5.75 to 6
	Increase Hours	Behavior Instructional Asst-Spec Ed	SMHS	10/20/15	18/A	5 to 6
	Resign	Student Data Specialist	RHS	11/1/15	22/E	8
	Resign	Custodial Supervisor	RHS	11/30/15	23/C	8
	Resign	Instructional Asst-Spec Ed I	SMHS	11/3/15	13/C	5.5
	Retire	Accounting Assistant II	DO	12/30/15	17/E	8
	Employ	Migrant Recruiter-Statistician	LC	11/2/15	22/A	8
	Out of Class	Student Data Specialist	LC	7/1/15	22/D	8
	Increase Hours	Food Service Worker I	SMHS	10/26/15	9/B	2 to 3
	Out of Class	Grounds Maintenance II	DO	10/20/15	20/D	8
	Leave of Absence	Food Service Worker I	RHS	12/14/15-12/18/15	9/E	5.5
	Retire	Library Technician	PVHS	12/30/15	18/E	8
	Resign	Instructional Asst-Spec Ed II	RHS	10/30/15	15/C	6

CERTIFICATED PERSONNEL ACTIONS

Name	Action	Assignment	Site	Effective	Salary	FTE
	Employ	School Counselor	RHS	11/2-6/9/16	V, 1+5 days	1.0
	Teacher prep	Social Science	SMHS	10/12-6/9/16	V, 22	0.2
	Teacher prep	Science	SMHS	10/12-6/9/16	V, 22	0.2
	Increase FTE	Agriculture	SMHS	2015-16	V, 16	.8 to 1.0
	Teacher prep	Mathematics	SMHS	10/12-6/9/16	IV, 7	0.2
	FMLA	School Counselor	SMHS	11/3-12/18/15	IV, 2	1.0
	Teacher prep	Science	SMHS	10/12-6/9/11	V, 1	0.2
	Teacher prep	Mathematics	PVHS	8/27-6/9/16	V, 22	0.2
	Status Change/Prob 1	English	SMHS	08/07/15	III, 2	1.0
	LWOP Update	English	PVHS	12/7-12/11/15	IV, 7	1.0
	Status Change/Prob 1	English	SMHS	08/07/15	III, 7	1.0

COACHING PERSONNEL ACTIONS

**Santa Maria Joint Union High School District
November 10, 2015**

COACHING PERSONNEL ACTIONS						
Name	Action	Assignment	Site	Effective	District	ASB
	Stipend	Band Coach	PVHS	Fall	\$1,000.00	
	Stipend	Band Coach	PVHS	Fall	\$1,000.00	
	Revised Stipend	Asst Varsity Boys Football	RHS	Fall	\$800.00	
	Revised Stipend	Asst Varsity Boys Football	RHS	Fall	\$1,795.00	
	Revised Stipend	Asst Varsity Boys Football	RHS	Fall	\$1,440.00	
	Revised Stipend	Asst Varsity Boys Football	RHS	Fall	\$1,977.00	
	Stipend	Head Varsity Boys Basketball	RHS	Winter	\$3,993.00	
	Revised Stipend	Asst Varsity Boys Football	RHS	Fall	\$1,717.00	

Appendix B

SANTA MARIA JOINT UNION HIGH SCHOOL DISTRICT FACILITIES REPORT October 2015

1. Santa Maria High School Construction Projects

C2004 SMHS New Classroom Building at Broadway – Rachlin Partners

- Punch list and final contract closeout activities are continuing.

SMHS Camino Colegio Parking Area – Rachlin Partners

- Five bids were received October 30, 2015. Recommendations will be presented to the Board at the November 10, 2015 meeting.
- Construction is estimated to begin December 2, 2015.

SMHS Breeze Way Canopy Removal – Support Services

- Final contract closeout activities are continuing.

SMHS Administration Roof Repairs – Support Services

- Bid documents are nearing completion and are expected to be issued in mid-November.

SMHS Ethel Pope Stairway Windows and Maintenance Shop Restrooms Abatement – Support Services

- Work is underway and expected to be complete November 6, 2015.

2. Ernest Righetti High School Construction Projects

ERHS Track Resurfacing – Support Services

- Final contract closeout activities are continuing.

ERHS New 38-Classroom Building – Rachlin Partners

- A design package is scheduled for DSA submittal November 6, 2015.
- Construction is estimated to begin May 2016.

ERHS Gym Reroof – Support Services

- Punch list and final contract closeout activities are complete. The final pay application is in process.

ERHS Walk-In Freezer Project – Rachlin Partners

- The plans remain under review at the Santa Barbara County Health Department.
- The construction period is pending County reviews and approvals.

3. Pioneer Valley High School Construction Projects

C2004 District Performing Arts Center – BCA Architects

- Site construction completed this period include grading, compaction, underground utilities rerouting and installation, and footing excavation. **(Photos)**

4. New Facility

C2004 New Facility School CTE Component – Architect to Be Determined

- Phase 1 Environmental Site Assessment (ESA): Complete.
- California Environmental Quality Act (CEQA): Complete.
- California Department of Education (CDE): A site survey was completed and a final site easement map is under development for CDE.
- Educational Program and Funding Development: Programming reviews and funding analysis by CFW are ongoing.
- Escrow: Acquisition pending clearance of remaining exceptions.

5. District Wide and Support Services Center

District Wide Energy Upgrade – Johnson Controls Inc.

- Punch list and final contract closeout activities are continuing.

District Wide Project Closeout – Support Services

- Review of project closeout issues continues. Projects under current review and their status are as follows:
 - PVHS Pool: Evaluations will continue into fall on revisions to eight concrete block pilasters at the pool building.
 - PVHS 12 Modular Classrooms: Coordination of modifications to the fire sprinkler system continues.
 - SMHS CHCCC: Review of documentation related to outstanding issues continues.
 - ERHS Industrial Arts: Modifications to ceiling soffits required for DSA approval are to be completed by the M&O Department in the fall.
 - ERHS Administration Building Renovation: Westberg + White Architects (W+W) is continuing closeout activities related to the final submittal package for DSA.
 - SMHS Lincoln Street: The final closeout package is under review by DSA.

District Wide Paving – Flowers and Associates

- Final contract closeout activities are continuing.

SSC New West Parking Area – Flowers and Associates

- Conceptual design reviews with the City of Santa Maria are ongoing.
- Construction scheduling will occur after City approval.

SSC 2 - Office Installation – Westberg + White Architects

- Final contract closeout activities are complete. Final retention payment is in process. This project is closed.

SSC Commodities Walk-In Freezer Project – Rachlin Architects

- The architect and consultants are continuing development of a plan package for submittal to the Santa Barbara County Health Department and the City of Santa Maria.
- A construction schedule will be developed upon City and County approvals.

6. Summer Activities

District Wide Summer Projects Planning

- Planning meetings have been scheduled in November to review summer 2016 projects.

Gary Wuitschick
Director – Support Services

Maintenance & Operations

PVHS

- Repaired the actuator valve on the pool heater.
- Cleared a plugged drain in the science building student restroom.
- Replaced the toilet seals in the student restrooms of the science building as several units were loose.
- Repaired the maintenance shop sink faucet.
- Replaced a HVAC condenser motor in room 622.
- Installed a new HVAC condensate pump and drain line for the Science Building elevator equipment room. **(Photo)**
- Replaced clocks in the portable classrooms. The new clocks receive their signal from a local UHF transmitter on the school site. The transmitter is tied to the District network timekeeping server so that the clocks can be synchronized throughout the District.
- Repaired the emergency lighting (battery backup system) at the football stadium.
- Repaired damaged irrigation communication wires on controller #2.
- Installed gates at the dugouts of the Junior Varsity softball field.
- Over seeded and fertilized the Varsity baseball field.
- Replaced weathered locks on the football concession window protective screens.
- Patched the access ramp for room 626.
- Replaced several pencil sharpeners throughout campus.
- Repaired several broken student chairs.
- Relocated a white board to the Humanities Building food service concession room.
- Replaced the auto flush backup batteries in the boys' restrooms of locker room and the Science Building.
- Replaced defective smoke detectors in the Administration Building.
- Replaced the computer projector in room 628.
- Installed a new computer projector in room 208.
- Completed annual science classroom fume hood inspections.
- Cleaned all security camera lenses.
- Hosted a tour from the Operations group of Fremont High School District. They are considering moving to Team Cleaning and they were interested in our cleaning process. They visited Pioneer Valley as well as Ernest Righetti High Schools.
- Setup several events – Varsity and Junior Varsity Softball, Basketball, Tennis, Water Polo, Football, Wrestling, Volleyball, Soccer, truancy meetings, PIQE, PSAT, CELDT, Upward bound, Plato Labs, Flu Shot clinic, Homecoming dance, All School Rally, Senior Rally, Club Rush, Link Crew, Scary Movie Night, Santa Maria Youth Football, Orcutt Academy High School, Orcutt Youth Football, Santa Barbara Soccer League, Central Coast Soccer League, and Crosspoint Church.
- Preventive work order hours – 23
- Routine work order hours – 130
- Total work orders completed – 140
- Event setup hours – 58

REGULAR MEETING
November 10, 2015

ERHS

- Groomed the football stadium turf.
- Repaired turf following the back fill of a gopher hole that created a low spot.
- Began preparation of baseball fields for spring season.
- Applied recycled wood chips from Waste Management along Larch Street. **(Photo)**
- Installed a computer projector in room 628.
- Replaced the cabling for computer projectors in rooms 613 and 316.
- Touched up paint in Administration, Cafeteria, street lockers, north side of the Gymnasium, and completely repainted the Gymnasium foyer.
- Cleared backed-up urinals, sinks, and showers.
- Capped a leaking abandoned waterline in the Cafeteria. The leak in the water line was discovered by the weekend crew. **(Photo)**
- Installed an emergency eye wash and shower in the welding shop.
- Revised the electrical outlets and prepared the Career Center for technology upgrades, including new television monitors for presentations.
- Replaced a warped metal door in room 602.
- Repaired and reinforced the bookshelves in the reactivated Agriculture Science classroom 432.
- Inspected, lubricated, and adjusted doors in the Industrial Arts Building.
- Inspected and lubricated gate locks throughout campus.
- Inspected and repaired damaged lockers.
- Improved the communication switch installation at the well pump house. **(Photo)**
- Adjusted the swinging entrance door in the Administration Building reception desk.
- Assembled new computer desks in rooms 235 and 404.
- Hosted a tour from the Operations group of Fremont High School District. They are considering moving to Team Cleaning and they were interested in our cleaning process. They visited Pioneer Valley as well as Ernest Righetti High Schools. **(Photo)**
- Completed annual science classroom fume hood inspections.
- Participated in the Great California Shakeout Earthquake Awareness event.
- Removed a beehive in the portable classroom area. **(Photo)**
- DHS – Completed all the repairs following the water damage in rooms 403 and 404.
- DHS – Repaired an irrigation leak on the north play field.
- DHS – Met with staff to discuss the Cyberlock security system pilot program.
- Setup several events – college boot camp, CELDT testing, health screening, PIQE, PSAT testing, Club Rush, volleyball, football, and tennis.
- Preventive work order hours – 25
- Routine work order hours – 154
- Total work orders completed – 166
- Event setup hours – 81

REGULAR MEETING
November 10, 2015

SMHS

- Installed a new fence at the south campus restroom.
- Groomed the football turf.
- Restriped the athletic field.
- Replanted fallen trees.
- Repaired several irrigation problems throughout campus.
- Repaired a urinal and toilet in the Breezeway restroom.
- Repaired the garbage disposal in the cafeteria kitchen.
- Repaired a water faucet in science classroom 360.
- Repaired the washing machine in the infant daycare classroom.
- Repaired the microphone connection in the floor of Wilson Gymnasium.
- Repaired the public address system in the New Science Building 240 as well as classrooms 611 and 614.
- Repainted three school signs and the north end of Wilson Gymnasium. **(Photos)**
- Installed day use locks on the pool lockers.
- Repaired the HVAC in classrooms 531, 532, and 614.
- Repaired damage to the pool restroom door.
- Installed an acid feed system in the pool equipment room for alkalinity control.
- Responded to several complaints regarding computer projectors in classrooms 232, 339, 357, 412, 420, 531, 613, 638, 643. Tested and completed repairs on the projectors in each of these rooms.
- Installed replacement supply dispensers in six student restrooms.
- Installed a new amplifier and two new speakers at Wilson Gymnasium. **(Photo)**
- Installed a wireless scoreboard controller at the football stadium.
- Removed an old storage shed at the softball field.
- Setup several events: PSAT Testing, CELDT testing, parent meeting, ASM meeting, DELAC, SAAS, Homecoming dance, Parent & Student College Research Meeting, Alpine Club Walk-a-thon, football team dinners, football, tennis at Minami complex, Orcutt Academy football, Santa Maria Youth Football, Santa Maria Valley Philharmonic Orchestra, and Allan Hancock College evening classes.
- Preventive work order hours – 38
- Routine work order hours – 131
- Total work orders completed – 174
- Event setup hours – 240

Transportation

- Bus Rider Identification - Two ID card printers are now housed at each comprehensive high school. Provided spare RFID cards for students that lose them. Students are now scanning ID cards when entering and leaving the busses on home to school routes.

Graffiti & Vandalism

- ERHS \$ 40
- DHS \$ 0
- SMHS \$ 1,100 – Room 320, Soft Drink machine, Plastics & Cafeteria RR, pool lockers
- PVHS \$ 0

Reese Thompson
Director – Facilities and Operations

Photo Gallery – Major Projects



PVHS – Foundation Excavation Underway for the Performing Arts Center



PVHS – Foundation Base Compaction Begins at the Performing Arts Center



PVHS – Compaction of the Foundation Base Requires Moving a lot of Earth



PVHS – Performing Arts Center Storm Drain is Relocated

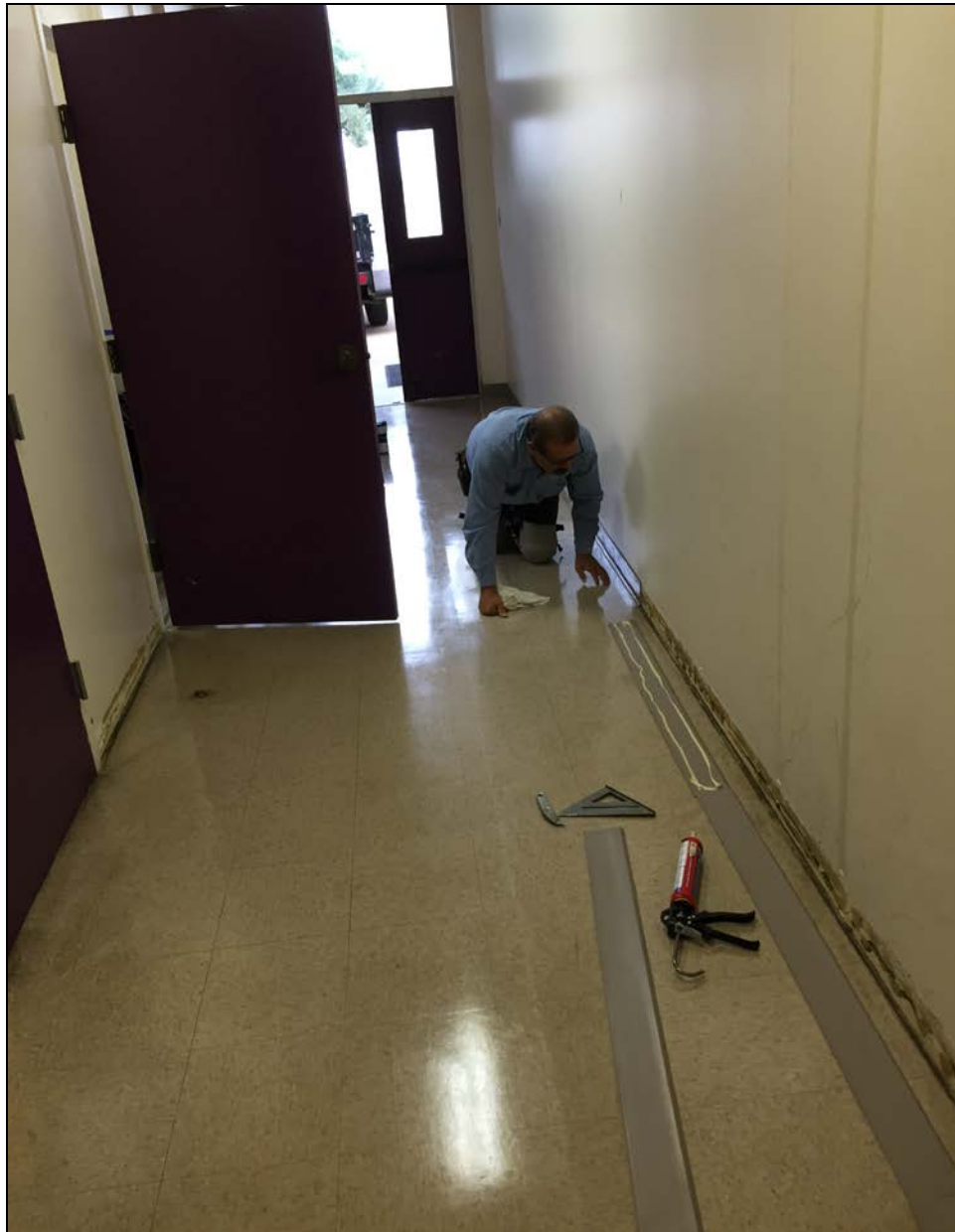
Photo Gallery - Maintenance & Operations



PVHS - Flavio Rodriguez Installs a New HVAC Condensate Drain Pump and Line



ERHS - Leo Avila and Juan Rodriguez Prepare Larch Street Slope for Recycled Material



ERHS – Jose Placencia Performs Final Restoration Following Cafeteria Water Line Leak



ERHS - Del Ward Upgrades the Communications Switch Installation at the Well Pump House



ERHS - David Velasco Demonstrates SMJUHS District Restroom Cleaning Methods to Fremont High School District Employees



ERHS – A Local Beekeeper Removes a Temporary Hive from a Portable Classroom



SMHS – Painters Refreshed Three School Signs



SMHS - Ray Segovia Repaints the North End of Wilson Gymnasium



SMHS - Erik Fulton Adjusts the New Wilson Gym Amplifier

SANTA MARIA JOINT UNION HIGH SCHOOL DISTRICT

RESOLUTION NUMBER 7 - 2015-2016

**PROVIDING FOR THE ISSUANCE AND SALE OF 2015 AND 2016
GENERAL OBLIGATION REFUNDING BONDS IN THE COMBINED
AGGREGATE PRINCIPAL AMOUNT OF NOT TO EXCEED \$12,000,000
TO REFUND OUTSTANDING GENERAL OBLIGATION REFUNDING
BONDS, SERIES 2006 AND APPROVING RELATED DOCUMENTS
AND ACTIONS**

WHEREAS, on May 17, 2006, the Santa Maria Joint Union High School District (the "District"), of Santa Barbara and San Luis Obispo Counties (the "Counties"), State of California (the "State"), issued the following bonds: "Santa Maria Joint Union High School District General Obligation Refunding Bonds, Series 2006" issued in the original principal amount of \$25,452,727.85 (the "2006 Bonds"); and

WHEREAS, the 2006 Bonds are subject to redemption, at the option of the District, commencing on August 1, 2016, at a redemption price equal to the principal amount of 2006 Bonds to be redeemed, without premium; and

WHEREAS, due to favorable conditions which exist in the financial markets at this time, the District has determined to authorize the issuance of its Santa Maria Joint Union High School District, 2015 General Obligation Refunding Bonds (the "2015 Refunding Bonds") and its Santa Maria Joint Union High School District, 2016 General Obligation Refunding Bonds (Forward Delivery) (the "2016 Refunding Bonds, and together with the 2015 Refunding Bonds, the "Refunding Bonds") for the purpose of refunding the 2006 Bonds, and thereby realizing savings to the property taxpayers in the District; and

WHEREAS, the Board of Education (the "Board") of the District is authorized to provide for the issuance and sale of the Refunding Bonds pursuant to the provisions of Articles 9 and 11 of Chapter 3 of Part 1 of Division 2 of Title 5 of the California Government Code, commencing with Section 53550 of said Code (the "Bond Law"); and

WHEREAS, the District has determined it is in the best interest of the District to sell the Refunding Bonds pursuant to the Bond Law on a private placement basis to JPMorgan Chase Bank, N.A. (the "Purchaser") in accordance with terms which have been presented to the Board; and

WHEREAS, the Board wishes at this time to take its action approving the issuance and sale of the Refunding Bonds and documents and actions relating to the Refunding Bonds;

NOW, THEREFORE, the Board of the District hereby finds, determines, declares and resolves as follows:

Section 1. Recitals. The foregoing recitals are true and correct.

Section 2. Authorization. The Board hereby authorizes the issuance of the Refunding Bonds in the combined aggregate principal amount of not to exceed \$12,000,000, subject to the terms of the Bond Law, this Resolution and the Paying Agent Agreements defined and approved in Section 3 hereof, for the purpose of providing funds to refinance the outstanding 2006 Bonds and paying related costs of issuance. The Refunding Bonds shall be issued in two separate series, the first to be designated the “Santa Maria Joint Union High School District 2015 General Obligation Refunding Bonds” and the second to be designated “Santa Maria Joint Union High School District 2016 General Obligation Refunding Bonds (Forward Delivery).” Such designations may be modified or supplemented as necessary to properly identify the Refunding Bonds to the Purchaser.

Section 3. Approval of Paying Agent Agreements. The proposed forms of Paying Agent Agreements, by and between the District and the paying agent identified therein (the “Paying Agent”), one for each series of Refunding Bonds, which are on file with the Clerk of the Board (each a “Paying Agent Agreement” and together, the “Paying Agent Agreements”) are hereby approved, and the President of the Board, the Superintendent, and the Assistant Superintendent of Business Services (each, an “Authorized Officer”) are hereby separately authorized and directed, for and in the name and on behalf of the District, to execute and deliver the Paying Agent Agreements in substantially said forms, with said additions thereto (including the insertion of the delivery dates, maturity dates, principal amounts, redemption provisions, and interest rates of the Refunding Bonds) and changes therein as the Authorized Officer may approve, such approval to be conclusively evidenced by the execution and delivery thereof. The Board hereby authorizes the performance by the District of its obligations under the Paying Agent Agreements.

Section 4. Material Terms of Refunding Bonds. The Refunding Bonds shall be issued as tax-exempt current interest bonds only, shall have a final maturity date which is no later than the latest maturity date of the 2006 Bonds (being August 1, 2020), and shall be as further described in the Paying Agent Agreements approved in Section 3 hereof.

Section 5. Sale of the Bonds. The Refunding Bonds shall be sold directly to the Purchaser on a private placement basis. The following terms shall govern the sale of the Refunding Bonds to the Purchaser:

(a) *Approval of Bond Purchase Agreements.* Pursuant to Sections 53555 and 53583 of the Bond Law, the Board hereby authorizes the private sale of the Refunding Bonds to the Purchaser. The Bonds shall be sold pursuant to the terms of Bond Purchase Agreements, by and between the District and the Purchaser, one for each series of Refunding Bonds, the forms of which are on file with the Clerk of the Board (each a “Bond Purchase Agreement” and together, the “Bond Purchase Agreements”) which are hereby approved, and an Authorized Officer is hereby separately authorized and directed, for and in the name and on behalf of the District,

to execute and deliver the Bond Purchase Agreements in substantially said forms, with said additions thereto and changes therein as the Authorized Officer may approve, such approval to be conclusively evidenced by the execution and delivery thereof. The Board hereby authorizes the performance by the District of its obligations under the Bond Purchase Agreements.

(b) *Approval of Placement Agent Agreement.* The Board hereby appoints Stifel, Nicolaus & Company, Incorporated to serve as placement agent (the "Placement Agent") for the Bonds. The proposed form of Placement Agent Agreement, by and between the District and the Placement Agent, which is on file with the Clerk of the Board (the "Placement Agent Agreement") is hereby approved, and an Authorized Officer is hereby separately authorized and directed, for and in the name and on behalf of the District, to execute and deliver the Placement Agent Agreement in substantially said form, with said additions thereto and changes therein as the Authorized Officer may approve, such approval to be conclusively evidenced by the execution and delivery thereof; provided compensation to the Placement Agent shall not exceed 1.0% of the principal amount of the Bonds. The Board hereby authorizes the performance by the District of its obligations under the Placement Agent Agreement.

(c) *Reasons for Private Sale.* In accordance with Section 53583(c)(2)(B) of the Bond Law, the Board has determined to authorize the sale of the Refunding Bonds on a private placement basis because a private sale provides more flexibility to choose the time and date of the sale which is advantageous in a volatile municipal bond market and it is expected that due to reduced financing costs and other matters, that a private sale will maximize savings. In accordance with said provision, the District or Bond Counsel on behalf the District, shall send a written statement, within two weeks after the Refunding Bonds are sold, to the California Debt and Investment Advisory Commission with the reasons for the private sale.

(d) *Savings Required.* As provided in Section 53552 of the Bond Law, the Refunding Bonds shall not be issued unless the total net interest cost to maturity on the Refunding Bonds plus the principal amount of the Refunding Bonds is less than the total net interest cost to maturity on the 2006 Bonds to be refunded plus the principal amount of the 2006 Bonds to be refunded. Before issuing the Refunding Bonds, the District shall receive confirmation from its Financial Advisor, demonstrating that the requirements of Section 53552 of the Bond Law and this Section 5(d) have been satisfied.

Section 6. Approval of Escrow Agreements. The proposed forms of Escrow Agreements, by and between the District and the escrow agent identified therein (the "Escrow Agent"), which are on file with the Clerk of the Board (each an "Escrow Agreement" and together, the "Escrow Agreements") are hereby approved, and the Authorized Officers are hereby separately authorized and directed, for and in the name and on behalf of the District, to execute and deliver the Escrow Agreements in substantially said forms, with such changes therein, deletions therefrom and modifications thereto as the Authorized Officer may approve, such approval to be conclusively evidenced by the execution and delivery thereof. The Board hereby authorizes the performance by the District of its obligations under the Escrow Agreements.

Section 7. Security for the Refunding Bonds. The Refunding Bonds shall be general obligations of the District payable from the levy of *ad valorem* taxes upon all property within the District which is subject to taxation by the District, without limitation as to rate or amount (except for certain personal property which is taxable at limited rates), for the payment of the Refunding Bonds and the interest thereon. The District hereby directs the Boards of Supervisors of the Counties to continue to levy on all the taxable property in the District, in addition to all other taxes, a continuing direct and *ad valorem* tax annually during the period the Refunding Bonds are outstanding in an amount sufficient to pay the principal of and interest on the Refunding Bonds when due, which moneys when collected shall be placed in the Debt Service Funds as set forth in Section 8.

The principal of and interest on Refunding Bonds do not constitute a debt of the Counties, the State, or any of its political subdivisions other than the District, or any of the officers, agents or employees thereof, and neither the Counties, the State, any of its political subdivisions nor any of the officers, agents or employees thereof shall be liable thereon. In no event are the principal of and interest on the Refunding Bonds payable out of any funds or properties of the District other than *ad valorem* taxes levied upon all taxable property in the District.

Section 8. Debt Service Funds. The District hereby directs the Santa Barbara County Treasurer (the "County Treasurer") to establish, hold and maintain separate debt service funds (the "Debt Service Funds") for each series of the Refunding Bonds, as separate accounts, distinct from all other funds of Santa Barbara County and the District. All taxes levied by the Counties for the payment of the principal of and interest and premium (if any) on the Refunding Bonds in accordance with this Resolution and the Paying Agent Agreements shall be deposited in the Debt Service Funds by the County Treasurer promptly upon apportionment of said levy. The Debt Service Funds are hereby irrevocably pledged by the District for the payment of the principal of and interest on the 2015 Refunding Bonds and 2016 Refunding Bonds, as applicable, when and as the same become due. The moneys in the Debt Service Funds, to the extent necessary to pay the principal of and interest on the Refunding Bonds as the same become due and payable, shall be transferred by the County Treasurer to the Paying Agent as required to pay the principal of and interest on the applicable series of Refunding Bonds.

Section 9. Investment of Debt Service Funds. The Debt Service Funds held by the County Treasurer shall be invested in the Santa Barbara County investment pool, or in the County Treasurer's discretion, in investments permitted by law and the investment policy of Santa Barbara County.

Section 10. Small Issuer Exemption from Bank Non-deductibility Restriction. The District hereby designates the 2015 Refunding Bonds for purposes of paragraph (3) of Section 265(b) of the Internal Revenue Code of 1986 (the "Tax Code") and represents that not more than \$10,000,000 aggregate principal amount of obligations the interest on which is excludable (under Section 103(a) of the Tax Code) from gross income for federal income tax purposes (excluding (i) private activity bonds, as defined in Section 141 of the Tax Code, except qualified 501(c)(3) bonds as defined in Section 145 of the Tax Code and (ii) current refunding obligations to the extent the amount of

the refunding obligation does not exceed the outstanding amount of the refunded obligation), including the 2015 Refunding Bonds, has been or will be issued by the District, including all subordinate entities of the District, during the calendar year 2015. If the District determines prior to the sale of the 2015 Refunding Bonds that obligations which exceed \$10,000,000 aggregate principal amount will be issued in calendar year 2015, the District shall provide in the Bond Purchase Agreement that the 2015 Refunding Bonds are not bank qualified.

Section 11. Appointment of Professionals. The Caldwell Flores Winters Inc., as financial advisor, and Jones Hall, A Professional Law Corporation, as bond counsel, are hereby engaged to perform professional services in connection with the issuance of the Refunding Bonds. An Authorized Officer is hereby authorized and directed in the name and on behalf of the District to execute and deliver contracts for professional services or addenda thereto as needed for existing contract, in the forms on file with the Clerk of the Board.

Section 12. General Authority. The Authorized Officers and other officers of the District are hereby authorized and directed to execute and deliver any and all certificates, requisitions, agreements, notices, consents, warrants and other documents, which they or any of them might deem necessary or appropriate in order to consummate the lawful issuance, sale and delivery of the Refunding Bonds, including but not limited to directions to Santa Barbara County regarding the designation of funds or accounts relating to the Refunding Bonds. Whenever in this Resolution any officer of the District is authorized to execute or countersign any document or take any action, such execution, countersigning or action may be taken on behalf of such officer by any person designated by such officer to act on his or her behalf in the case such officer is absent or unavailable.

Section 13. Limited Liability of Counties. The Counties (including their officers, agents and employees) shall undertake only those duties of the Counties under this Resolution which are specifically set forth in this Resolution, and even during the continuance of an event of default with respect to the Refunding Bonds, no implied covenants or obligations shall be read into this Resolution against the Counties (including its officers, agents and employees). The District further agrees to indemnify, defend and save the Counties (including their officers, agents and employees) harmless against any and all liabilities, costs, expenses, damages and claims which they may incur in the exercise and performance of their powers and duties hereunder which are not due to negligence or bad faith.

Section 14. Severability. If any section, paragraph or provision of this resolution shall be held to be invalid or unenforceable for any reason, such invalidity or unenforceability shall not affect any remaining provisions hereof.

Section 15. Effective Date. This resolution shall take effect from and after the date of its passage and adoption.

PASSED AND ADOPTED this 10th day of November 2015 by the following vote:

ROLL CALL

AYES:

NOES:

ABSTAIN:

ABSENT:

Clerk/President/Secretary of the Board of Education
Santa Maria Joint Union High School District