



## STATEMENT OF INTERESTS

SECRETARY OF STATE  
SFN 10172 (10-2025)

Secretary of State  
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References to the Statement of Interests are found in North Dakota Century Code, Chapter 16.1-09.

### FILING REQUIREMENTS FOR STATEMENT OF INTERESTS

#### STATEWIDE, LEGISLATIVE OR JUDICIAL - FILE WITH SECRETARY OF STATE - ONLINE ONLY - [VOTE.ND.GOV](http://VOTE.ND.GOV)

- **Annual Filing** - Officeholders, except those holding federal office, must file a statement of interests each year by January 31.
- **Every candidate** must file a statement of interests (federal requirements noted below) at the same time as filing his/her Certificate of Endorsement (SFN 17196) or Petition/Certificate of Nomination (SFN 02704) and Affidavit of Candidacy (SFN 02701).
- **Federal Candidates - President & Vice President of the U.S., U.S. Senate, & U.S. House of Representatives** - Must file a statement of interests or a copy of the disclosure statement required by the Federal Election Commission with candidacy documents.

#### COUNTY OFFICES, GARRISON CONSERVANCY, SOIL CONSERVATION, SOUTHWEST WATER AUTHORITY- FILE WITH COUNTY AUDITOR

- **Annual Filing** - Officeholders must file a statement of interests each year by January 31.
- **Every candidate** must file a statement of interests at the same time as filing his/her Petition/Certificate of Nomination (SFN 02704) and Affidavit of Candidacy (SFN 02701). Candidates in multi-county offices file in their county of residence.

#### CITY OFFICES - FILE WITH CITY AUDITOR

- **Annual Filing** - Officeholders must file a statement of interests each year by January 31.
- **Every candidate** must file a statement of interests at the same time as filing his/her Petition/Certificate of Nomination (SFN 02704).

#### SCHOOL BOARD - FILE WITH SCHOOL BUSINESS MANAGER

- **Annual Filing** - Officeholders must file a statement of interests each year by January 31.
- **Every candidate** must file a statement of interests at the same time as they file the statement to set forth the candidate's name and the position for which the person is a candidate.

#### GOVERNOR APPOINTED OFFICES AND BOARDS - FILE WITH SECRETARY OF STATE - VIA THE OFFICE OF THE GOVERNOR

- **Annual Filing** - Appointees must file a statement of interests each year by January 31.
- **At Time of Appointment** - Every person appointed by the Governor to a state agency, board, bureau, commission, department, or occupation or professional licensing board must file a statement of interests with the Secretary of State through the Office of the Governor no later than the announcement of the appointment.

**ASSISTANCE:** Questions regarding the Statement of Interests may be directed to the Elections Unit of the Secretary of State's office at (701) 328-2900 or (800) 352-0867, or the appropriate filing officer.

Name of candidate or appointee		Telephone number	
Spouse's name		Non-government email address (required)	
Address		City	State ZIP code
Office which candidate is seeking (include district number, if applicable)	<b>OR</b> Position to which appointed		

**ITEM A: PRINCIPAL OCCUPATION/SOURCE OF INCOME** - Enter the principal occupation (or the greatest source of income) as listed on your North Dakota income tax return for you and your spouse (if applicable).

Your principal occupation	Spouse's principal occupation
Name of business or employer	Spouse's name of business or employer

**ITEM B: FINANCIAL INTERESTS** - List by name each business or trust that is NOT the principal occupation (greatest source of income), in which you and/or your spouse (if applicable) have a financial interest. You are not required to list dollar amounts or the nature of the work performed. Use an "X" to indicate the "role" relationship for you and/or your spouse. Attach additional sheets in the same format if greater space is needed.

1. Any business or trust in which you and/or your spouse own a legal or equitable interest.
2. Any business or trust in which you and/or your spouse have investments. Include the name of the business or trust in which you have stock, mutual funds, bonds, debentures, or debt obligations of corporations and/or municipal corporations. Financial interests that are contained in diversified portfolios need only be mentioned by the brokerage establishment where it is invested.
3. Any business or trust from which you and/or your spouse receive compensation.
4. Any business or trust paying you and/or your spouse a fee or commission for professional consulting services. Include those public agencies from which you and/or your spouse receive a fee or commission. Attorneys and others with "professional" as their principal occupation on their state income tax form are not required to list clients.

[illegible]

**ITEM C: ASSOCIATIONS/BOARD SERVICE** - List the associations or institutions with which you and/or your spouse are closely associated, or serve as a director or officer of, **AND** which may be affected by legislative action (for legislative candidates) or action of the officeholder of the office to which you are a candidate or appointee. You are not required to list dollar amounts or the nature of the work performed. Use an 'X' to indicate the "role" relationship for you and/or your spouse. Attach additional sheets in the same format if greater space is needed.

[illegible]

**ITEM D: GOVERNMENT RELATIONSHIPS** - List any political subdivision and any agency or department of the state to which you and/or your spouse sold goods or services of more than \$5,000 in the preceding year from:

- 1. An employer identified as a business or trust in which you and/or your spouse own a legal or equitable interest, and in which you and/or your spouse have an ownership interest of 10 percent or more; or
- 2. A business or trust identified in which you and/or your spouse have investments, where you and/or your spouse have an ownership interest of 10 percent or more; and, the business or trust is directly related to the official duties of the office the person is seeking or holding.

You are not required to list dollar amounts or the nature of the work performed. Use an 'X' to indicate the "role" relationship for you and/or your spouse.

ASSOCIATION OR INSTITUTION	ROLE(S)	SELF	SPOUSE

**ITEM E: FIDUCIARY RELATIONSHIPS** - Identify any business office, business directorship, or fiduciary relationship that you and/or your spouse have held in the preceding calendar year. Fiduciary means acting as a guardian, trustee, executor, administrator, or conservator for any person, whether individual or corporate. You are not required to list dollar amounts or the nature of the work performed. Use an 'X' to indicate the "role" relationship for you and/or your spouse. Attach additional sheets in the same format if greater space is needed.

ASSOCIATION OR INSTITUTION	ROLE(S)	SELF	SPOUSE

I, the undersigned, declare this Statement of Interests has been examined by me and to the best of my knowledge is a true, correct, and complete statement of my financial interests. I understand any intentional violation of the law requiring the filing of this statement shall result in my being deprived of my appointment or assuming the duties of the elective office.

Signature of candidate or appointee	Date
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## INSTRUCTIONS FOR STATEMENT OF INTERESTS

**WHO FILES:** The Statement of Interests shall be filed by all candidates seeking to have their name placed on the ballot for federal, statewide, judicial district, legislative, county, multi-district, city, and school district office. Every person appointed by the Governor to a state agency, board, bureau, commission, department, or occupation or professional licensing board shall also file a Statement of Interests.

**WHEN TO FILE:** Every candidate for elective office shall file a Statement of Interests with the appropriate filing officer at the same time as filing his/her Certificate of Endorsement SFN 17196 or Petition/Certificate of Nomination SFN 2704 and Affidavit of Candidacy SFN 2703. Appointees of the Governor shall file a Statement of Interests no later than the announcement of the appointment.

### WHERE TO FILE:

**SCHOOL DISTRICT CANDIDATES** - File with the School Business Manager

### HOW TO FILE:

**ITEM A - PRINCIPAL OCCUPATION:** The occupations listed are those defined on the North Dakota state income tax return. Check only one category for the principal source of income for yourself, and only one for your spouse. Please mark and complete the box labeled "Other" if you or your spouse's occupation is not listed.

**ITEM B** - List by name each **business or trust** that is **NOT** the principal source of income, in which you and/or your spouse have a financial interest. Include any of the following that apply:

1. Any business or trust in which you and/or your spouse own a legal or equitable interest.
2. Any business or trust in which you and/or your spouse have investments. Include the name of the business or trust of which you have stocks, mutual funds, bonds, debentures, or debt obligations of corporations and/or municipal corporations. Financial interests that are contained in diversified portfolios need only be mentioned by the brokerage establishment it is with.
3. Any business or trust from which you and/or your spouse receive compensation.
4. Any business or trust paying you and/or your spouse a fee or commission for professional or consulting services. Include those public agencies from which you and/or your spouse received a fee or commission. Attorneys and others who list their principal occupation as "professional" are not required to list clients.
5. Public agencies (state or local) to which you and/or your spouse sold goods or services.

**ITEM C** - List the **associations or institutions** with which you and/or your spouse are closely associated, or serve as a director or officer of, and which may be affected by legislative action (for legislative candidates) or action of the officeholder of the office to which you are a candidate or appointee.

List organizations and associations and note the capacity of you and/or your spouse's relationship such as "member", "board of directors", "consultant", etc.

**ITEM D** - Identify by name any **business office, business directorship, and fiduciary relationship** that you and/or your spouse have held in the preceding calendar year.

**Fiduciary** means acting as a guardian, trustee, executor, administrator, or conservator for any person, whether individual or corporate. Specify the capacity of the relationship of you and/or your spouse for any of the listed businesses, trusts and/or fiduciary relationships, such as "director", "executor", "trustee", etc.

### SPECIAL NOTES:

Items B, C and D of this form have limited space for listing items. If you need more space, attach additional sheets in the same format and clearly identify which of the three items (B, C or D) the additional sheet continues. Insert the additional sheets into this form.

Use an "X" to indicate the "interest" relationship for (a) yourself, or (b) your spouse.

You are not required to list dollar amounts or the nature of the work performed in ITEMS B, C or D.

**ASSISTANCE:** Questions regarding the Statement of Interests may be directed to the Elections Division of the Secretary of State's Office at (701) 328-4146 or (800) 352-0867 or the appropriate filing officer.

